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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Office of Initial Patent Examination, Customer Corrections Branch, Commissioner of Patents, Washington, DC 20231.

Date

August 22, 2002

Eileen Bowen

*Eileen Bowen*

SEP 20 2002

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Hau H. Duong; Gary Blackburn; Attorney Docket No.: 463037-219  
Jon F. Kayyem; Stephen D. (A-68718-3/RFT/RMS/RMK)  
O'Connor; Gary T. Olsen;  
Robert Pietri; Nathan Swami;  
and Robert H. Terbrueggen

Serial No. : 09/904,175 Group Art Unit : 1645

Filed : July 11, 2001

Title : DEVICES AND METHODS FOR BIOCHIP MULTIPLEXING

**REQUEST FOR CORRECTED FILING RECEIPT**

Office of Initial Patent Examination  
Customer Corrections Branch  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

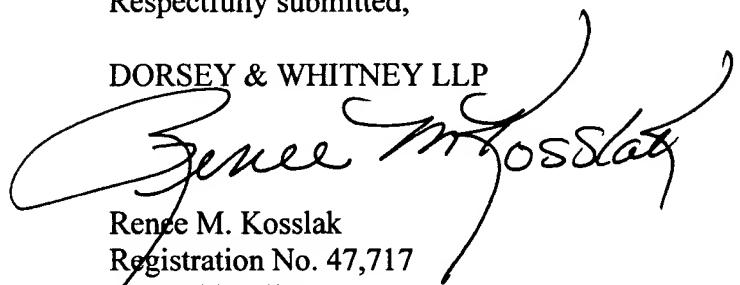
Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error with respect to the following data, which is incorrectly entered. There is an error in the Domestic Priority data as claimed by applicant field, which should read "This application claims benefit of U.S. Provisional Patent Application No. 60/175,539, filed January 11, 2000, and claims benefit of U.S. Provisional Patent Application No. 60/145,840, filed November 3, 2000."

The correction to be made has been marked in red on the copy of the enclosed  
Filing Receipt.

Respectfully submitted,

DORSEY & WHITNEY LLP



Renee M. Kosslek  
Registration No. 47,717  
For Robin Silva  
Registration No. 38,304  
Filed Under § 1.34(a)

Enclosures:

Postcard

Copy of Filing Receipt with Correction in Red Ink

4 Embarcadero Center, Suite 3400  
San Francisco, California 94111  
Tel: (415) 781-1989  
Fax: (206) 398-3249

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/904,175	07/11/2001	1645	924	A-68718- 3/RFT/RMS/RMK	42	23	2

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

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CONFIRMATION NO. 1169

UPDATED FILING RECEIPT



\*OC00000008215591\*

Date Mailed: 05/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Hau H. Doung, Los Angeles, CA;  
Gary Blackburn, Glendora, CA;  
Jon F. Kayyem, Pasadena, CA;  
Stephen D. O'Connor, Pasadena, CA;  
Gary T. Olsen, La Cresenta, CA;  
Robert Pietri, Pasadena, CA;  
Nathan Swami, South Pasadena, CA;  
Robert H. Terbrueggen, Manhattan Beach, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/175,539 01/11/2000  
AND CLAIMS BENEFIT OF 60/145,840 07/27/1999 *11/3/2000*  
AND IS A CON OF 09/760,384 01/11/2001  
AND IS A CON OF PCT/US01/01150 01/11/2001  
(\*)Data provided by applicant is not consistent with PTO records.

**Foreign Applications**

**If Required, Foreign Filing License Granted 08/28/2001**

**Projected Publication Date: 09/12/2002**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Devices and methods for biochip multiplexing

**Preliminary Class**

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/904,175	07/11/2001	Hau H. Doung	A-68718- 3/RFT/RMS/RMK

CONFIRMATION NO. 1169



\*OC000000008780975\*

FLEHR HOHBACH TEST ALBRITTON & HERBERT  
LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

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**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

**Claims, Fees, and Inventors**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50

— characters (letters and spaces combined).

- The inventor's residence allows for up to 40 characters (letters and spaces combined).
- The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- The docket number allows a maximum of 25 characters.
- The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.

  
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